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**Title: Cardiorespiratory adaptation in a 6-minute walk test by fibrotic idiopathic interstitial pneumonia patients who did or did not respond to pulmonary rehabilitation**

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**Abstract:**

**BACKGROUND:** Pulmonary rehabilitation (PR) improves performance in the 6-min walk test (6MWT) in a subset of patients with fibrotic idiopathic interstitial pneumonia (f-IIP); however, a large proportion of patients do not respond to PR.

**AIM:** To investigate the effects of a PR program on cardiorespiratory responses during a 6MWT and to identify the characteristics of patients who do not show improved performance after PR.

**DESIGN:** An observational study.

**SETTING:** Patients were recruited from the Competence Centre for Rare Pulmonary Diseases at Lille University Hospital, France and completed an 8-week home-based PR program.

**POPULATION:** A total of 19 patients with f-IIP; 12 with idiopathic pulmonary fibrosis (IPF) and 7 with fibrotic non-specific interstitial pneumonia.

**METHODS:** Patients underwent spirometry and completed a 6MWT before and after an 8-week PR program. Gas exchange, heart rate, and pulse O<sub>2</sub> saturation were measured continuously during the 6MWT. Quality of life, dyspnoea, and anxiety/depression were assessed using the Short-Form 36 (SF-36), the baseline/transition dyspnoea index (BDI/TDI), and the Hospital Anxiety and Depression Scale (HADS) questionnaires.

**RESULTS:** Patients who did and did not improve the distance walked in the 6MWT by at least 30 m after PR were classified as responders (n=9) and non-responders (n=10), respectively. O<sub>2</sub> uptake, ventilation rate, and distance covered during the 6MWT were significantly improved only in the responder group (p<0.05). Changes in SF-36, BDI/TDI, and HADS scores did not differ significantly between responders and non-responders. The

non-responder group contained significantly more patients with IPF ( $p<0.05$ ) and experienced greater arterial oxygen desaturation during the 6MWT compared with the responder group.

**CONCLUSION:** Failure to improve performance in the 6MWT after PR was associated with a diagnosis of IPF, non-improvement in gas exchange, and greater arterial oxygen desaturation.

**CLINICAL REHABILITATION IMPACT:** Most f-IIP patients who did not respond to PR were diagnosed with IPF and displayed greater hypoxemia during exercise. Clinical practitioners should seek to determine why patients fail to improve exercise performance after PR and propose an alternative exercise regimen to these patients.

### **Keywords**

Responders, Non-responders, 6-min walk test, Interstitial lung disease, Idiopathic pulmonary fibrosis

## Introduction

Interstitial lung diseases (ILD) include various disorders characterised by alveolar and interstitial space damage, pulmonary inflammation usually associated with fibrosis, decreased pulmonary capacity, and impaired gas exchange.<sup>1</sup> Patients generally present with exercise intolerance, dyspnoea on exertion, and poor quality of life,<sup>2-4</sup> which can be improved by pulmonary rehabilitation (PR) programs performed at home<sup>5,6</sup> or in outpatient or inpatient settings.<sup>7-11</sup>

ILD patients with fibrotic idiopathic interstitial pneumonia (f-IIP) generally have a poorer exercise tolerance and survival rate compared with non-fibrotic patients.<sup>7,12</sup> In particular, the distance walked during the 6-min walk test (6MWT) is independently associated with mortality in these patients.<sup>13,14</sup> Bajwah *et al.* reviewed the effects of pharmacological and non-pharmacological interventions on changes in the 6MWT distance (6MWD), and only pirfenidone and PR showed strong evidence of a positive impact.<sup>15</sup> Although most studies have reported that PR does improve the 6MWD in f-IIP patients,<sup>5,7-10,16,17</sup> one retrospective study of 599 ILD patients (46% with idiopathic pulmonary fibrosis [IPF]) reported that 40% showed either no clinical improvement or experienced worsening of the 6MWD after PR.<sup>18</sup>

Because changes in the 6MWD over time predict survival in f-IIP patients,<sup>14</sup> there is an urgent need to understand why some patients fail to improve exercise performance after PR. In these patients, exercise limitations may be due to pulmonary and/or gas exchange impairments (diminished lung volume, oxygen desaturation), circulatory limitations (pulmonary hypertension, cardiac dysfunction), and/or muscular dysfunction.<sup>4,19</sup> However, few studies have examined the effects of PR on gas exchange during submaximal exercise tests, such as the 6MWT, in patients with respiratory diseases. Chronic obstructive pulmonary disease (COPD) patients generally show increased peak oxygen uptake ( $VO_{2peak}$ ) in the post-

PR 6MWT.<sup>20-23</sup> In contrast, several studies have detected no significant change in  $VO_{2peak}$  after PR, despite an increase in 6MWD, f-IIP patients.<sup>7,10,24,25</sup> This discrepancy may be due to inter-study differences in the proportion of patients who did or did not show improved 6MWD (i.e., responders and non-responders). For example, patients whose pathology worsened during the PR program may have shown no improvement in either the 6MWD or  $VO_2$ . Alternatively, patients with greater arterial desaturation during exercise, reflecting more limited ventilatory function may have benefited less from the PR program.

Based on these possibilities, we hypothesised that f-IIP patients who displayed an increase in exercise performance (i.e., distance walked) during a post-PR 6MWT would show a concomitant increase in  $VO_2$ ). The aim of the present study was to investigate changes in cardiorespiratory responses during the 6MWT in f-IIP patients after an 8-week home-based PR program, and to identify characteristics that differentiate between responders and non-responders.

## Material and Methods

### Ethical approval

The study was conducted in accordance with the Declaration of Helsinki. Approval for the use of patient data was obtained from the Institutional Review Board of the French Learned Society for Pulmonology (CEPRO 2011-036). Written informed consent was obtained from each participant.

### Subjects

Patients with a diagnosis of f-IIP according to established criteria<sup>26,27</sup> and followed at the Competence Centre for Rare Pulmonary Diseases, Lille University Hospital (Lille, France) were recruited by pulmonologists during the patients' routine monitoring visits. Inclusion criteria were: (i) a diagnosis of fibrotic non-specific interstitial pneumonia (f-NSIP) or IPF by high-resolution computed tomography or lung biopsy and (ii) resting pulse O<sub>2</sub> saturation  $\geq 88\%$ . Patients were excluded if they had participated in a PR program in the preceding year, were receiving continuous O<sub>2</sub> therapy, had a comorbidity precluding exercise training or affecting test performance, had a forced vital capacity (FVC)  $< 50\%$  of the predicted value, or had a diffusing capacity of the lung for carbon monoxide (DLCO)  $< 25\%$  of the predicted value.

Thirty-four patients with f-IIP were recruited between September 2014 and June 2016 and offered the home-based PR program. Ten patients declined to participate and 3 were excluded; 1 with a DLCO  $< 25\%$  of predicted and 2 with severe orthopaedic or neurological comorbidities. Finally, a total of 21 patients (12 with IPF, 7 with f-NSIP), were included in the study. A flow diagram outlining patient recruitment is shown in Figure 1.

### Study design

Patients completed an 8-week home-based PR program. Once a week, a health professional with specific expertise in exercise training and therapeutic education supervised

a 90-min session in the patient's home. Patients were encouraged to perform the same exercises independently, with a total target of five sessions per week. The supervised sessions included exercise training, therapeutic patient education, and psychosocial support based on an educational needs assessment (see below). Pulmonary function tests and the 6MWT with measurement of gas exchange were performed at the Hospital before and after the PR program. The time between the end of the home-based PR program and the post-PR evaluation was  $8\pm 5$  days (mean  $\pm$  standard deviation [SD]).

### **Exercise training program**

Each session included 30 min endurance training on a cycle ergometer (Domyos VM 200, Decathlon, Villeneuve-D'Ascq, France) and/or a stepper (Stepper réglable athlitech, Groupe Go Sport, Sassenage, France), which were set up at the patient's home at the start of the PR program. Training intensity was determined for each individual with a specific functional goal. The exercise intensity for each patient at the start of the program was based on the average heart rate ( $\pm 5$  beats $\cdot$ min<sup>-1</sup>) obtained during the last 3 min of the pre-PR 6MWT.<sup>28</sup> The cycling workload and stepper movement speed were adjusted to target an exercise rate at perceived exertion scores between 3 and 4 on the 0–10 Borg scale or between 11 and 13 on the Borg 6–20 scale. If patients failed to complete the optimal duration of 30 min continuous exercise, the duration was reduced to 10-min periods of interval training, with a goal of gradually increasing to 30 min continuously over the 8-week program. Strength training of upper and lower limb muscles was performed using body weight, dumbbell, and elastic band exercises, for 15 min per session. Exercise was monitored and modified by an experienced physiotherapist according to a standardised protocol. Supplemental oxygen was provided during the training if pulse O<sub>2</sub> saturation <88%. Finally, patients were encouraged to increase their physical activity duration in daily life. Each patient was asked about his/her



adherence to the non-supervised sessions but details of their physical activities and exercise were not recorded.

### **Therapeutic education program and psychosocial support**

An educational assessment was performed at each patient's home before starting the PR program to evaluate his/her existing difficulties and to assess changes required in health-related behaviour. Short, medium and long-term goals were assessed to provide real-life motivation for the PR program. Depending on the patient's needs, the program addressed respiratory diseases and comorbidities (e.g., diabetes, cardiovascular diseases, obesity, depression, anxiety), treatments, prevention and recognition of exacerbations, physical exercise, outings, sleep, sexuality, breathing management, stress management, balanced diet and weight control, smoking cessation, self-image, and self-esteem. This section of the program was administered at each visit (usually in the presence of the patient's spouse or caregiver) in the form of interactive presentations, question and answer sessions, card games, and illustrated printed material.

### **Assessments**

Baseline lung diffusing capacity and volumes were obtained by plethysmography. Forced expiratory volume in 1 s (FEV<sub>1</sub>), FVC, total lung capacity (TLC), and DLCO were measured using a BodyBox 5500 (Medisoft Sorinnes, Belgium). Predicted normal values were derived from standard equations recommended by the European Respiratory Society.<sup>29</sup>

Quality of life was assessed using the Medical Outcomes Study Short-Form 36 (SF-36), which is composed of eight domains and two component summary scores (physical and mental).<sup>30</sup> Dyspnoea was assessed using the baseline and transition dyspnoea index (BDI/TDI) and the 10-point Borg scale at the end of the 6MWT.<sup>31,32</sup> Anxiety and depression were evaluated using the Hospital Anxiety and Depression Scale (HADS).<sup>33</sup>

The 6MWT was performed according to the American Thoracic Society (2002) recommendations using a 30-m corridor, without encouragement.<sup>34</sup> At rest and during the exercise test, gas exchanges and ventilatory responses were measured continuously using a portable spirometer (MetaMax 3B, Cortex, Germany).  $\text{VO}_2$ , carbon dioxide output ( $\text{VCO}_2$ ), minute ventilation ( $\text{V}_E$ ), breathing frequency (Bf), tidal volume ( $\text{V}_T$ ), respiratory exchange ratio, respiratory equivalent ratios for  $\text{O}_2$  ( $\text{V}_E/\text{VO}_2$ ), and carbon dioxide ( $\text{V}_E/\text{VCO}_2$ ) were obtained continuously. Data were recorded every 5 s and the average value per minute was used for statistical analysis. Pulse  $\text{O}_2$  saturation ( $\text{SpO}_2$ ) was also recorded every minute using a pulse oximeter (Novamatrix 513 Pulse Oximeter, Wallingford, CN, USA), and heart rate (HR) was monitored continuously using a belt compatible with the gas exchange analyser (Polar FS3C, Oy, Finland). Resting ( $\text{SpO}_{2\text{rest}}$ ) and minimum  $\text{SpO}_2$  during exercise ( $\text{SpO}_{2\text{nadir}}$ ) were recorded, and  $\Delta\text{SpO}_2$  was calculated as ( $\text{SpO}_{2\text{rest}} - \text{SpO}_{2\text{nadir}}$ ).

### **Classification of responders and non-responders**

Patients who did or did not show a 6MWD increase of  $\geq 30$  m after PR (considered the minimal important difference [MID])<sup>35</sup> were classified as responders or non-responders, respectively.

### **Statistical analysis**

Cardiorespiratory parameters (HR,  $\text{VO}_2$ ,  $\text{VCO}_2$ ,  $\text{V}_E$ ,  $\text{V}_T$ , Bf,  $\text{V}_E/\text{VO}_2$ ,  $\text{V}_E/\text{VCO}_2$ ) during the 6MWT performed before (pre-PR) and after (post-PR) the PR program were compared. Values are expressed as the mean  $\pm$  SD. Data were analysed using SigmaStat (version 3.5). Univariate normality assumptions were verified with the Kolmogorov–Smirnov test, and homogeneity of variances was verified using the Brown–Forsythe variation of Levene’s test. A paired t-test and the nonparametric Wilcoxon test were used to compare dyspnoea, and  $\text{SpO}_2$  before and after the 6MWT. Repeated measures ANOVA (time and pre/post) was used to compare the change in cardiorespiratory parameters at rest and at each

minute of the 6MWT pre- and post-PR. When ANOVA was significant, Tukey's post hoc test for multiple comparisons was applied. A paired t-test and the nonparametric Wilcoxon test were used to compare the questionnaire scores (HADS, SF-36 and BDI/TDI) pre- and post-PR.

Fisher's test was used to compare the distribution between the responder and non-responder groups of f-IIP patients and of patients who achieved the MID of 30 m for 6MWT. An unpaired t-test and the nonparametric Wilcoxon test were used to compare values at rest and changes following PR for the responders and non-responders. Because the number of subjects was small, the effect size was calculated for p values close to 0.15. Cohen<sup>36</sup> previously suggested that effect sizes of <0.2, 0.2–0.5, 0.5–0.8, and >0.8 reflected trivial, small, moderate, and large effects, respectively.<sup>36</sup> A p-value <0.05 was considered statistically significant.

## Results

Twenty-one patients with f-IIP were enrolled and deemed eligible for the study, of whom 19 completed the PR program (Figure 1). A subset of 13 patients had complete (pre- and post-PR) datasets for the gas exchange analysis. Of the 19 patients, 12 (63%) had IPF and 7 (37%) had f-NSIP. The main characteristics and comorbidities of the patients are summarised in Table I.

Following the PR program, the mean 6MWD for the entire cohort (n=19) was significantly increased from  $425\pm 57$  m to  $448\pm 68$  m ( $p=0.01$ , Table II). Among the eight domains of the SF-36 questionnaire, only the ‘physical functioning score’ of quality of life was improved post-PR ( $p=0.004$ , Table II). The BDI/TDI score showed an improvement of dyspnoea post-PR ( $p=0.01$ , Table II). However, the dyspnoea score on the Borg scale assessed at the end of the 6MWT were not significantly different pre- and post-PR ( $p=0.24$ , data not shown). Similarly, there was no significant effect of the PR program on the HADS anxiety or depression scores ( $p=0.53$  and  $p=0.87$ , respectively; Table II).

### Characterisation of responders and non-responders

Of the 19 patients, 9 (47%) showed a 6MWD improvement of at least 30 m (the MID for this test<sup>35</sup>) and were considered responders; the remaining 10 patients were designated non-responders. The two groups showed no significant differences in the baseline (pre-PR program) 6MWD or other characteristics (Table III). However, the proportion of f-NSIP and IPF patients was significantly different between the groups, with the non-responder group containing only 1 of the 7 f-NSIP patients but 9 of the 12 IPF patients (14% vs. 75%;  $p=0.02$ ). Notably, changes of FEV<sub>1</sub> and TLC values for the responder and non-responder groups were different (Table IV). Whereas, the responders showed increased (or at least the maintenance) FEV<sub>1</sub> and TLC following the PR program, both parameters were decreased in the non-responder group ( $p=0.04$  for  $\Delta$ FEV<sub>1</sub>,  $p=0.08$  for  $\Delta$ TLC; Table IV). However, there were no

significant differences between the responder and non-responder groups in the changes in quality of life, anxiety, depression, or dyspnoea from pre- to post-PR.

### **Cardiorespiratory adaptation during the 6MWT**

Of the 19 patients who completed the PR program, 13 were analysed for gaseous exchange during the 6MWT (6 responders and 7 non-responders; Figure 1). The mean cardiorespiratory values (HR,  $\text{VO}_2$ ,  $\text{VCO}_2$ ,  $\text{V}_E$ ,  $\text{V}_T$ , and Bf) for the group of 13 were not significantly different before *vs.* after the PR program or at rest *vs.* during the 6MWT. The change in the 6MWD from pre- to post-PR correlated with the changes in  $\text{VO}_2$  ( $r=0.59$ ,  $p=0.03$ ), in  $\text{V}_E$  ( $r=0.69$ ,  $p=0.01$ ) and in Bf ( $r=0.55$ ,  $p=0.05$ ).

In the responder group ( $n=6$ ), the post-PR 6MWT showed significantly increased  $\text{VO}_2$  and  $\text{VCO}_2$  during the last 3 min and significantly increased  $\text{V}_E$  throughout compared with the pre-PR 6MWT (Figure 2A). In contrast, HR and ventilatory patterns were not significantly affected by the PR program. In the non-responder group ( $n=7$ ), none of the cardiorespiratory parameters evaluated were significantly changed between the pre- and post-PR 6MWT (Figure 2B).

## Discussion

In this study, we showed that more than half (10/19, 53%) of f-IIP patients who underwent an 8-week home-based PR program did not reach the MID of 30 m in the 6MWD. We found that responders had higher  $\text{VO}_2$ ,  $\text{VCO}_2$ , and  $\text{V}_E$  during the post-PR 6MWT, but no differences in cardiorespiratory parameters were detected in non-responders before vs. after the PR program. The two patient groups also showed no significant differences in pre- vs. post-PR changes in dyspnoea, quality of life, or anxiety/depression scores. The non-responder group was notable for the predominance of IPF patients (9/10) and the greater arterial oxygen desaturation during exercise.

### Characterisation of responders and non-responders

Our findings are consistent with previous studies showing the beneficial effects of a PR program for f-IIP patients in the 6MWT.<sup>5,7,8,10,11,25,37</sup> However, we also observed a 53% non-responder rate, which matches previous reports that 58–60% of IPF patients failed to attain a MID of 28–34 m in a post-PR 6MWT.<sup>25,38</sup> One exception to this trend was the study of Vainshelboim *et al.*, who reported that 13 of 15 IPF patients reached a MID of 25 m in a post-PR 6MWT.<sup>10</sup> This difference could be partly explained by the shorter MID selected in the latter study.

The baseline severity of lung disease (FVC,  $\text{SpO}_{2\text{nadir}}$ ),<sup>38</sup> dyspnoea grade,<sup>37</sup> and 6MWD<sup>11,39</sup> have been reported to predict post-PR changes in the 6MWD in ILD patients. In our study, the non-responder group contained more patients with IPF and with severe lung diffusion impairment, as reflected by baseline DLCO level and arterial oxygen desaturation during the 6MWT, compared with the responder group. These results are consistent with the study of Holland *et al.*, who found that exercise-induced desaturation was a significant predictor of the change in 6MWD in ILD patients after a 6-month PR program.<sup>38</sup> This result should be interpreted with caution, however, since a similar study of ILD patients by

Dowman *et al.* found that SpO<sub>2</sub><sub>nadir</sub> did not predict short- or long-term changes in 6MWD post-PR.<sup>11</sup> This discrepancy could be due to the higher proportion of patients with connective tissue disease-related ILD and the small number of patients requiring long-term or exertional oxygen therapy in the study of Dowman *et al.*<sup>11</sup> As yet unidentified factors may also contribute to post-PR changes in 6MWD in ILD patients. For example, Holland *et al.* reported that desaturation may limit exercise intensity during PR in ILD patients, thus reducing the potential beneficial effect of the program on the 6MWD.<sup>38</sup> Patients in that study—as in ours—were permitted O<sub>2</sub> therapy to maintain a SpO<sub>2</sub> ≥88% during the PR program. Moreover, a recent study found no difference in pre-6MWT SpO<sub>2</sub><sub>nadir</sub> between ILD patients who did or did not improve their exercise intensity during a PR program.<sup>11</sup>

Interestingly, we did not observe significant differences between the responders and non-responders in pre- vs. post-PR quality of life, dyspnoea, or anxiety and depression scores. Spielmanns *et al.* similarly found no significant differences in SF-36 scores between responders and non-responders in their study.<sup>18</sup> These findings indicate that PR can have major benefits for f-IIP patients, independently of improvements in 6MWD. The educational therapy aspect of the program is likely to have played a part in the improved symptom and quality of life scores, supporting the previous recommendation<sup>40</sup> that educational therapy and exercise training should be combined to optimise the benefits of PR. Arizono *et al.* compared the effects of a PR program on the performance of f-IIP patients in various exercise tests, and they found that duration of the endurance shuttle walk test (ESWT) was a more sensitive readout of PR efficacy than the distance walked in the 6MWT.<sup>25</sup> We note, however, that improvement in the 6MWD, but not the ESWT, requires the patient to walk faster after PR. It seems likely that it would be easier for a deconditioned patient to increase the duration, rather than intensity, of physical activity during a home-based program, given the lack of involvement of medical staff. In our study, for example, the non-responders may have shown

improvements in exercise duration, following the PR program, but this would not be detected by the 6MWT. Future studies should include a more detailed investigation of the responders and non-responders, which could provide valuable information for clinicians to design different training regimens for patients who are 'non-responders' using a particular exercise test.

### **Cardiorespiratory adaptation during the 6MWT**

To the best of our knowledge, this is the first study to describe the effects of a PR program on cardiorespiratory adaptation during a 6MWT in patients with pulmonary disease. Similar to the study of IPF patients by Jackson *et al.*, we observed no differences in cardiorespiratory adaptation following the PR program,<sup>41</sup> despite significant differences in performance. But, the responders and non-responders differed in their  $VO_2$ ,  $VCO_2$ , and  $V_E$  during the post-PR 6MWT. Our results also suggest that f-IIP patients with lower DLCO and greater desaturation are less likely to show improved 6MWD and cardiorespiratory performance after a PR program. However, we note that the number of subjects compared is small. As proposed for COPD patients,<sup>42</sup> it is possible that the greater hypoxia observed in non-responders may limit muscular adaptation, and thus the post-PR 6MWD. Therefore, for ILD patients and especially f-IIP, further studies are warranted to explore the mechanisms, including muscular adaptation, underlying the post-PR differences between responders and non-responders.

### **Limitations of the study**

One limitation of this study is the mild to moderate disease severity of the cohort. We excluded patients who required oxygen supplementation at rest for technical reasons related to the gas exchange analyser. The fact that the pre- and post-PR 6MWT were performed without oxygen may also explain the failure of some patients to show overt improvement in the response. Even if some muscular adaptation had occurred, it may have been masked by a



worsening of pathology and consequent need for oxygen therapy post-PR. In addition, the sample size was small, and our results should be verified on a larger cohort. In particular, the small number of subjects per responder and non-responder group limits the interpretation of the cardiorespiratory outcomes of the 6MWT. However, we did observe evidence of differences in respiratory adaptation between the responders and non-responders with moderate to large effect sizes.

## **Conclusions**

More than 50% of the f-IIP patients in our cohort did not show an improvement in the 6MWD following a PR program. Further studies should focus on the underlying mechanisms that explain the observed differences between responders and non-responders, especially the role of chronic hypoxemia. This is a crucial point, because patients who are non-responsive to the 6MWT may have poorer prognoses and survival rates compared with responders. Nevertheless, non-responders and responders showed similar benefits in quality of life and dyspnoea, suggesting that these parameters, or other exercise metrics, may be more sensitive to PR than the 6MWD MID for some patients. For example, since our patient cohort was asked to prioritise an increase in exercise duration over intensity during the 8-week program, it is possible that the non-responders in the 6MWT may have been responders in endurance tests performed at submaximal intensity.

**Notes:**Authors' contribution statement:

BC made substantial contributions to study conception and design; acquisition, analysis, and interpretation of the data; and drafting of the manuscript. VB made substantial contributions to study conception and design, analysis and interpretation of the data, and drafting of the manuscript. CC made substantial contributions to acquisition of the data and drafting of the manuscript. JMG made substantial contributions to study conception and design, acquisition of the data, and drafting of the manuscript. BW made substantial contributions to study conception and design, analysis and interpretation of the data, and drafting of the manuscript.

Conflict of interest:

All authors declare no conflicts of interest relevant to this study.

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**Tables:****Table I.** Characteristics of the 19 patients with fibrotic idiopathic interstitial pneumonia

Variable	All (n=19)	IPF (n=12)	f-NSIP (n=7)	P value
Men/women, n	15/4	10/2	5/2	0.60
Age, years	65 ± 9	66 ± 7	62 ± 12	0.53
BMI, kg·m <sup>-2</sup>	30 ± 6	30 ± 3	30±9	0.90
FVC, % predicted	75 ± 13	79 ± 12	70±13	0.13
TLC, % predicted	78 ± 19	83 ± 20	69 ± 14	0.11
FEV <sub>1</sub> , % predicted	73 ± 12	75 ± 10	71 ± 15	0.44
DLCO, % predicted	40 ± 8	39 ± 8	42 ± 10	0.43
Exercise O <sub>2</sub> therapy support, n (%)	15 (79)	9 (75)	6 (86)	1.00
BDI, score	7 ± 2	7 ± 2	6 ± 2	0.18
6MWT				
6MWD, m	425 ± 57	438 ± 59	401 ± 49	0.18
SpO <sub>2nadir</sub> , % #	85 ± 5	84 ± 6	88 ± 4	0.12
Comorbidities, n (%)				
Arterial hypertension, %	11 (58)	8 (67)	3 (43)	0.37
Type 2 diabetes, %	8 (42)	7 (58)	1 (14)	0.17
Cardiovascular disease, %	7 (37)	6 (50)	1 (14)	0.15
Sleep-disordered breathing, %	6 (32)	3 (25)	3 (43)	0.62
Gastroesophageal reflux, %	2 (11)	1 (8)	1 (14)	1.00

Values are expressed as the mean ± SD, number (n) and percentage of patients, or number (n) of patients. #The effect size for the SpO<sub>2nadir</sub> was 0.75 (moderate). 6MWD: 6-min walk test distance; 6MWT: 6-min walk test; BDI: baseline dyspnoea index; BMI: body mass index; DLCO: diffusing capacity of the lung for carbon monoxide; FEV<sub>1</sub>: forced expiratory volume in 1s; f-NSIP: fibrotic non-specific interstitial pneumonia; FVC: forced vital capacity; IPF: idiopathic pulmonary fibrosis; SpO<sub>2nadir</sub>: minimum SpO<sub>2</sub> recorded; TLC: total lung capacity.

**Table II.** Pre- vs. post-PR changes in dyspnoea and quality of life scores in the f-IIP patients.

	<b>Pre-PR</b>	<b>Post-PR</b>	<b>P value</b>	<b>Effect Size</b>
<b>6MWD, m</b>	425 ± 57	448 ± 68	<b>0.01</b>	0.37
<b>SF-36</b>				
Physical summary score	54 ± 19	60 ± 18	0.10	0.33
Physical functioning score	55 ± 23	63 ± 24	<b>0.004</b>	0.33
Mental summary score	60 ± 21	66 ± 21	0.14	0.28
<b>BDI/TDI score</b>	6.8 ± 2.1	+0.9 ± 1.3	<b>0.01</b>	0.39
<b>HADS</b>				
Anxiety score	7.6 ± 4.8	6.7 ± 4.1	0.53	
Depression score	5.2 ± 3.5	5.3 ± 3.0	0.87	

Values are expressed as the mean ± SD. 6MWD: 6-min walk test distance; BDI/TDI: baseline/transition dyspnoea index; HADS: hospital anxiety depression scale; PR, physical rehabilitation; SF-36: short-form 36.



**Table III.** Baseline characteristics of the responder and non-responder groups.

Variable	Responders (n=9)	Non- responders (n=10)	P value	Effect Size
Pulmonary function				
FVC, % predicted	73 ± 13	77 ± 12	0.48	
TLC, % predicted	70 ± 12	82 ± 20	0.16	
FEV <sub>1</sub> , % predicted	73 ± 14	74 ± 10	0.77	
DLCO, % predicted	44 ± 9	38 ± 7	0.15	0.73
6WMT				
6MWD, m	422 ± 66	428 ± 51	0.83	
SpO <sub>2nadir</sub> , %	87 ± 4	83 ± 6	0.14	0.69
Dyspnoea end, Borg 0–10	6 ± 3	5 ± 2	0.21	
BDI score	6 ± 2	7 ± 2	0.45	
SF-36 physical component score	50 ± 21	58 ± 18	0.41	
SF-36 mental component score	54 ± 18	65 ± 22	0.27	
HADS anxiety score	9 ± 4	6 ± 5	0.14	0.68
HADS depression score	7 ± 4	4 ± 5	0.10	0.77

Values are expressed as the mean ± SD. 6MWD: 6-min walk test distance; 6MWT: 6-min walk test; BDI: baseline dyspnoea index; DLCO: diffusing capacity of the lung for carbon monoxide; FEV<sub>1</sub>: forced expiratory volume in 1s; FVC: forced vital capacity; HADS: hospital anxiety depression scale; SF-36: short-form 36; SpO<sub>2nadir</sub>: minimum SpO<sub>2</sub> recorded; TLC: total lung capacity.

**Table IV.** Pre- vs. post-PR changes in the responder and non-responder groups.

Variable	Responders (n=9)	Non- responders (n=10)	P value	Effect Size
$\Delta$ FVC, mL	2 $\pm$ 119	-55 $\pm$ 119	0.31	
$\Delta$ TLC, mL	131 $\pm$ 305	-347 $\pm$ 711	0.08	0.82
$\Delta$ FEV <sub>1</sub> , mL	26 $\pm$ 66	-85 $\pm$ 131	<b>0.04</b>	0.94
$\Delta$ DLCO, mL·min <sup>-1</sup> ·mmHg <sup>-1</sup>	0.10 $\pm$ 0.94	-0.09 $\pm$ 0.13	0.47	
$\Delta$ TDI score	1 $\pm$ 1	1 $\pm$ 1	0.93	
$\Delta$ SF-36 physical component score	9 $\pm$ 17	4 $\pm$ 16	0.47	
$\Delta$ SF-36 mental component score	6 $\pm$ 17	5 $\pm$ 15	0.86	
$\Delta$ HADS anxiety score	-2 $\pm$ 4	0 $\pm$ 3	0.23	
$\Delta$ HADS depression score	-1 $\pm$ 3	1 $\pm$ 2	0.41	

Values are expressed as the mean  $\pm$  SD. DLCO: diffusing capacity of the lung for carbon monoxide; FEV<sub>1</sub>: forced expiratory volume in 1s; FVC: forced vital capacity; HADS: hospital anxiety depression scale; SF-36: short-form 36; TLC: total lung capacity; TDI: transition dyspnoea index.

**Titles of figures:**

**Figure 1.** Patient flow diagram.

$\Delta$ 6MWD: pre- vs. post-PR change in 6MWT distance; 6MWT: 6-min walk test; PR: pulmonary rehabilitation.

**Figure 2.** Change in cardiorespiratory parameters during the 6MWT performed before and after a PR program.

(A and B) Results for the 6 responders (A) and 7 non-responders (B) who underwent gas exchange analysis. 6MWT: 6-min walk test; HR: heart rate;  $V_{CO_2}$ : carbon dioxide output;  $VO_2$ :  $O_2$  uptake;  $V_E$ : minute ventilation. Data are presented as the mean $\pm$ SD. \* $p < 0.05$ , \*\* $p < 0.01$  by repeated measures ANOVA.

**Table 1.** Characteristics of the 19 patients with fibrotic idiopathic interstitial pneumonia.

Variable, unit	All (n=19)	IPF (n=12)	f-NSIP (n=7)	P
Men/women, n	15/4	10/2	5/2	0.60
Age, years	65 ± 9	66 ± 7	62 ± 12	0.53
BMI, kg.m <sup>-2</sup>	30 ± 6	30 ± 3	30±9	0.90
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Exercise O <sub>2</sub> therapy support, n (%)	15 (79)	9 (75)	6 (86)	1.00
BDI, score	7 ± 2	7 ± 2	6 ± 2	0,18
<i>6MWT</i>				
6MWD, mètres	425 ± 57	438 ± 59	401 ± 49	0.18
SpO <sub>2</sub> nadir, % <sup>#</sup>	85 ± 5	84 ± 6	88 ± 4	0.12
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Cardiovascular disease, %	7 (37)	6 (50)	1 (14)	0.15
Sleep-disordered breathing, %	6 (32)	3 (25)	3 (43)	0.62
Gastroesophageal reflux, %	2 (11)	1 (8)	1 (14)	1.00

Values are expressed as the mean ± SD or except for the number of mens and womens and for the comorbidities expressed as the number of patients (percentage). BMI: body mass index; DLCO: diffusing capacity of the lung for carbon monoxide; FEV<sub>1</sub>: forced expiratory volume in 1s; f-NSIP: fibrotic non-specific interstitial pneumonia; FVC: forced vital capacity; IPF: idiopathic pulmonary fibrosis; SpO<sub>2</sub>nadir: minimum SpO<sub>2</sub> recorded; TLC: total lung capacity; 6MWD: 6-minute walk distance; 6MWT: 6-minute walk test. <sup>#</sup>The effect-size for the SpO<sub>2</sub>nadir was moderate with 0.75.

**Table 2.** Baseline characteristics of the responders and non-responders patients to the 6MWT.

<b>Variable, unit</b>	<b>Responders (n=9)</b>	<b>Non- responders (n=10)</b>	<b>p</b>	<b>ES</b>
<b>Pulmonary function</b>				
FVC, % predicted	73 ± 13	77 ± 12	0.48	
TLC, % predicted	70 ± 12	82 ± 20	0.16	
FEV <sub>1</sub> , % predicted	73 ± 14	74 ± 10	0.77	
DLCO, % predicted	44 ± 9	38 ± 7	0.15	0.73
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BDI	6 ± 2	7 ± 2	0.45	
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SF-36 mental component score	54 ± 18	65 ± 22	0.27	
HAD anxiety score	9 ± 4	6 ± 5	0.14	0.68
HAD depression score	7 ± 4	4 ± 5	0.10	0.77

Values are expressed as the mean ± SD. BDI: baseline dyspnoea index; DLCO: diffusing capacity of the lung for carbon monoxide; FEV<sub>1</sub>: forced expiratory volume in 1s; FVC: forced vital capacity; HAD: hospital anxiety depression scale; SF-36: short-form 36; SpO<sub>2nadir</sub>: minimum SpO<sub>2</sub> recorded; TLC: total lung capacity; 6MWD: 6-minute walk distance; 6MWT: 6-minute walk test.

**Table 3.** Changes between pre- and post-PR program in responders and non-responders to the 6MWT.

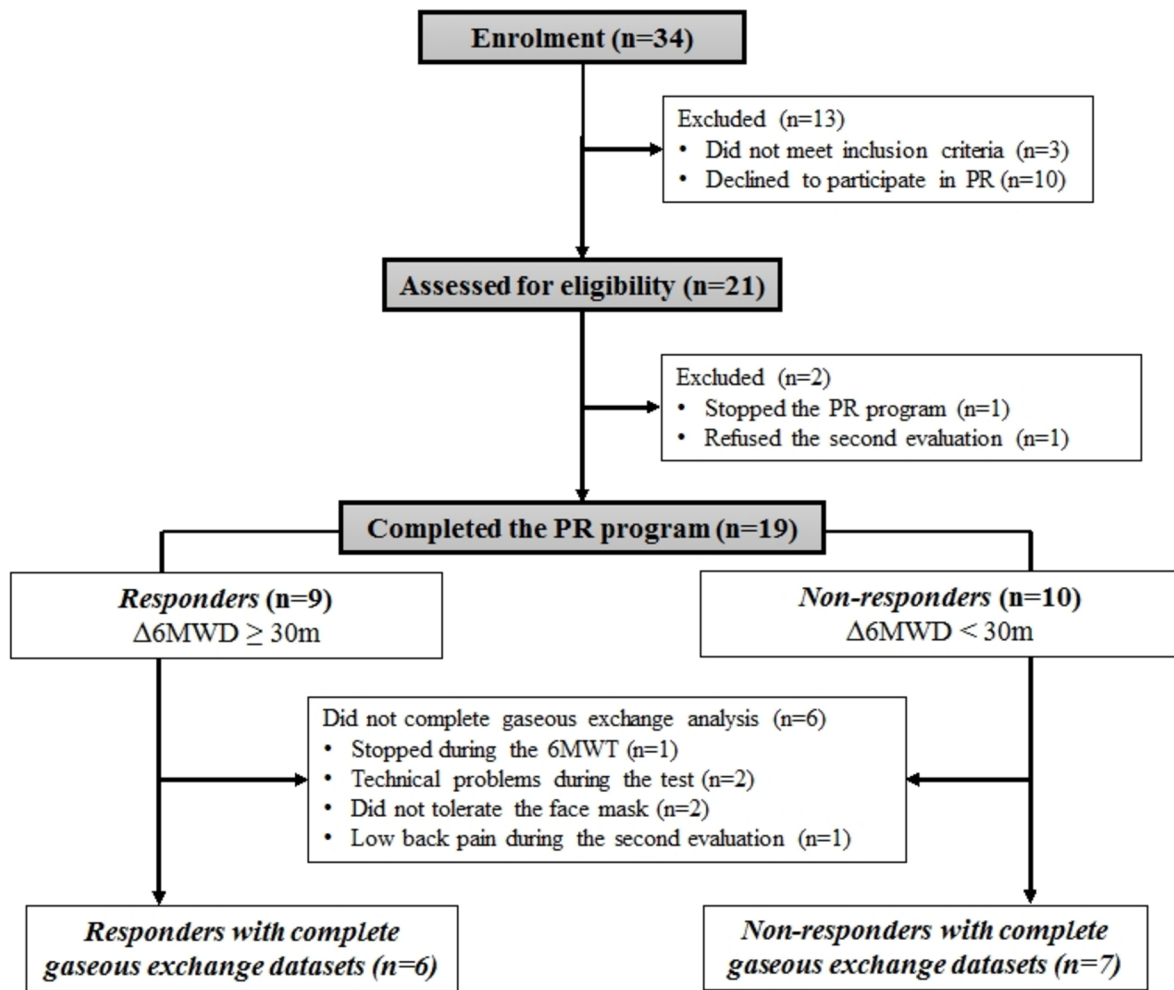
Variable, unit	Responders (n=9)	Non-responders (n=10)	p-value	ES
$\Delta$ FVC, mL	2 $\pm$ 119	-55 $\pm$ 119	0.31	
$\Delta$ TLC, mL	131 $\pm$ 305	-347 $\pm$ 711	0.08	0.82
$\Delta$ FEV <sub>1</sub> , mL	26 $\pm$ 66	-85 $\pm$ 131	<b>0.04</b>	0.94
$\Delta$ DLCO, mL.min <sup>-1</sup> .mmHg <sup>-1</sup>	0.10 $\pm$ 0.94	-0.09 $\pm$ 0.13	0.47	
$\Delta$ TDI, score	1 $\pm$ 1	1 $\pm$ 1	0.93	
$\Delta$ SF-36 physical component score	9 $\pm$ 17	4 $\pm$ 16	0.47	
$\Delta$ SF-36 mental component score	6 $\pm$ 17	5 $\pm$ 15	0.86	
$\Delta$ HAD anxiety score	-2 $\pm$ 4	0 $\pm$ 3	0.23	
$\Delta$ HAD depression score	-1 $\pm$ 3	1 $\pm$ 2	0.41	

DLCO: diffusing capacity of the lung for carbon monoxide; FEV<sub>1</sub>: forced expiratory volume in 1s; FVC: forced vital capacity; HAD: hospital anxiety depression scale; SF-36: short-form 36; TLC: total lung capacity; TDI: transition dyspnoea index.

**Table 4.** Changes following PR program in 19 f-IIP patients.

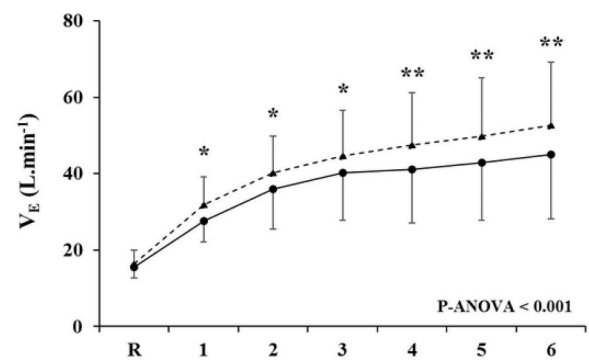
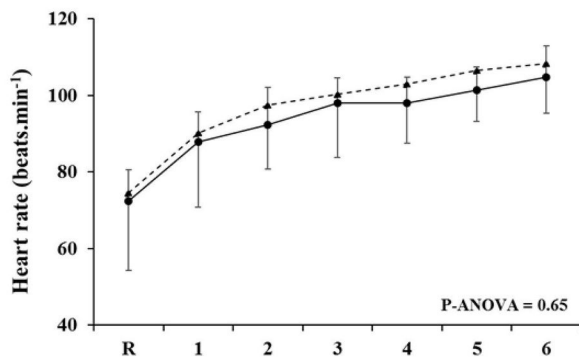
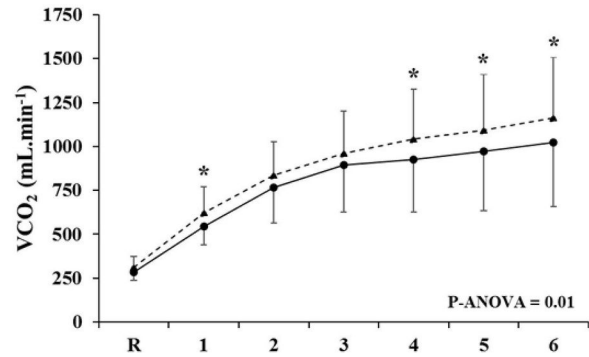
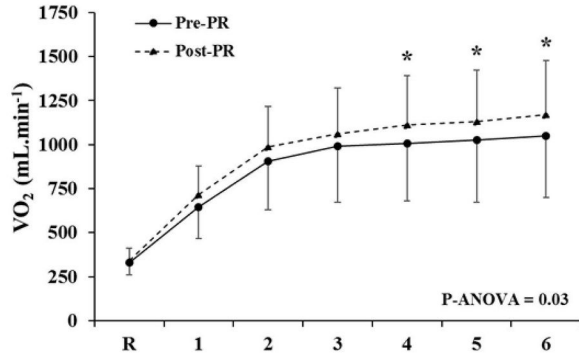
	<b>Pre-PR</b>	<b>Post-PR</b>	<b>p-value</b>	<b>ES</b>
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<b>SF-36</b>				
Physical summary score	54 ± 19	60 ± 18	0.10	0.33
Physical functioning score	55 ± 23	63 ± 24	<b>0.004</b>	0.33
Mental summary score	60 ± 21	66 ± 21	0.14	0.28
<b>BDI-TDI, score</b>	6.8 ± 2.1	+0.9 ± 1.3	<b>0.01</b>	0.39
<b>HAD</b>				
Anxiety score	7.6 ± 4.8	6.7 ± 4.1	0.53	
Depression score	5.2 ± 3.5	5.3 ± 3.0	0.87	

6MWD: 6-min walk distance; BDI/TDI: baseline/transition dyspnoea index; HAD: hospital anxiety depression scale; SF-36: short-form 36.





**A**



**B**

